

# Linear Sorts

# Linear Sorts?

Comparison sorts are very general, but are  $\Omega(n \log n)$

Faster sorting may be possible if we can constrain the nature of the input.

# Linear Sorting Algorithms

- Counting Sort
- Radix Sort
- Bucket Sort

# Linear Sorts: Learning Outcomes

- From understanding this lecture you should be able to:
  - ☐ Explain the difference between comparison sorts and linear sorting methods.
  - ☐ Identify situations when linear sorting methods can be applied and know why.
  - ☐ Explain and code any of the linear sorting algorithms we have covered.

# Linear Sorting Algorithms

- **Counting Sort**
- Radix Sort
- Bucket Sort

# Example 1. Counting Sort

- Invented by Harold Seward in 1954.
- **Counting Sort** applies when the elements to be sorted come from a **finite** (and preferably small) **set**.
- For example, the elements to be sorted are integers in the range  $[0 \dots k-1]$ , for some fixed integer  $k$ .
- We can then create an array  $V[0 \dots k-1]$  and use it to count the number of elements with each value  $[0 \dots k-1]$ .
- Then each input element can be placed in exactly the right place in the output array in constant time.

# Counting Sort

Input:	1	0	0	1	3	1	1	3	1	0	2	1	0	1	1	2	2	1	0
Output:	0	0	0	0	0	1	1	1	1	1	1	1	1	1	2	2	3	3	3

- Input: N records with integer keys between  $[0 \dots 3]$ .
- Output: **Stable** sorted keys.
- Algorithm:
  - ❑ Count frequency of each key value to determine transition locations
  - ❑ Go through the records in order putting them where they go.

# Counting Sort

Input:

1	0	0	1	3	1	1	3	1	0	2	1	0	1	1	2	2	1	0
0	0	0	0	0	1	1	1	1	1	1	1	1	1	2	2	2	3	3
0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18

Output:

Index:

Stable sort: If two keys are the same, their order does not change.

Thus the 4<sup>th</sup> record in input with digit 1 must be  
the 4<sup>th</sup> record in output with digit 1.

It belongs at output index 8, because 8 records go before it  
ie, 5 records with a smaller digit & 3 records with the same digit

## Count These!



# CountingSort

Input:	1	0	0	1	3	1	1	3	1	0	2	1	0	1	1	2	2	1	0
Output:																			
Index:	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18

Value v:	0	1	2	3
# of records with digit v:	5	9	3	2

N records. Time to count?  $\theta(N)$

# CountingSort

Input:	1	0	0	1	3	1	1	3	1	0	2	1	0	1	1	2	2	1	0
Output:																			
Index:	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18

Value v:	0	1	2	3
# of records with digit v:	5	9	3	3
# of records with digit < v:	0	5	14	17

N records, k different values. Time to count?  $\theta(k)$

# CountingSort

Input:

1	0	0	1	3	1	1	3	1	0	2	1	0	1	1	2	2	1	0
0	0	0	0	0	1	1	1	1	1	1	1	1	1	2	2	2	3	3
0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18

Output:

0	0	0	0	0	1	1	1	1	1	1	1	1	1	2	2	2	3	3
---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---

Index:

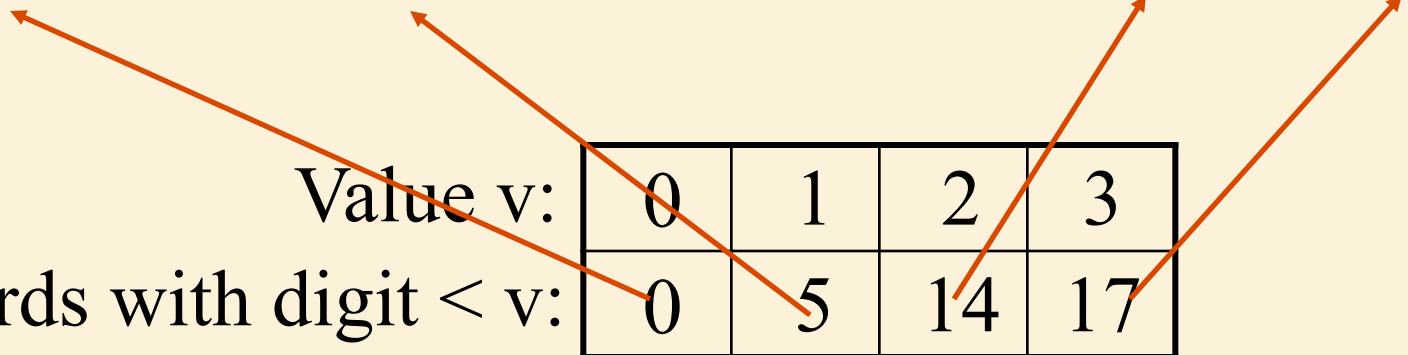
0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18
---	---	---	---	---	---	---	---	---	---	----	----	----	----	----	----	----	----	----

Value v:

0	1	2	3
0	5	14	17

# of records with digit  $< v$ :

= location of first record with digit v.



# End of Lecture

Nov 26, 2015

# CountingSort

Input:

1	0	0	1	3	1	1	3	1	0	2	1	0	1	1	2	2	1	0
0	?				1													
0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18

Output:

0	?				1													
---	---	--	--	--	---	--	--	--	--	--	--	--	--	--	--	--	--	--

Index:

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18
---	---	---	---	---	---	---	---	---	---	----	----	----	----	----	----	----	----	----

Value v:

0	1	2	3
0	5	14	17

Location of first record  
with digit v.

Algorithm: Go through the records in order  
putting them where they go.

# Loop Invariant

- The first  $i-1$  keys have been placed in the correct locations in the output array
- The auxiliary data structure  $v$  indicates the location at which to place the  $i^{th}$  key for each possible key value from  $[0..k-1]$ .

# CountingSort

Input:

<del>1</del>	0	0	1	3	1	1	3	1	0	2	1	0	1	1	2	2	1	0
					1													
0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18

Output:

Index:

Value v:

0	1	2	3
0	5	14	17

Location of **next** record  
with digit v.

Algorithm: Go through the records in order  
putting them where they go.

# CountingSort

Input:

1	0	0	1	3	1	1	3	1	0	2	1	0	1	1	2	2	1	0
0					1													
0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18

Output:

Index:

Value v:

0	1	2	3
0	6	14	17

Location of **next** record  
with digit v.

Algorithm: Go through the records in order  
putting them where they go.



# CountingSort

Input:

1	0	0	1	3	1	1	3	1	0	2	1	0	1	1	2	2	1	0
0	0				1													
0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18

Output:

0	0				1													
---	---	--	--	--	---	--	--	--	--	--	--	--	--	--	--	--	--	--

Index:

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18
---	---	---	---	---	---	---	---	---	---	----	----	----	----	----	----	----	----	----

Value v:

0	1	2	3
1	6	14	17

Location of **next** record  
with digit v.

Algorithm: Go through the records in order  
putting them where they go.

# CountingSort

Input:

1	0	0	1	3	1	1	3	1	0	2	1	0	1	1	2	2	1	0
0	0				1	1												
0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18

Output:

0	0				1	1												
---	---	--	--	--	---	---	--	--	--	--	--	--	--	--	--	--	--	--

Index:

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18
---	---	---	---	---	---	---	---	---	---	----	----	----	----	----	----	----	----	----

Value v:

0	1	2	3
2	6	14	17

Location of **next** record  
with digit v.

Algorithm: Go through the records in order  
putting them where they go.

# CountingSort

Input:

1	0	0	1	3	1	1	3	1	0	2	1	0	1	1	2	2	1	0
0	0				1	1											3	
0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18

Output:

Index:

Value v:

0	1	2	3
2	7	14	17

Location of **next** record  
with digit v.

Algorithm: Go through the records in order  
putting them where they go.

# CountingSort

Input:

1	0	0	1	3	1	1	3	1	0	2	1	0	1	1	2	2	1	0
0	0				1	1	1										3	
0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18

Output:

0	0				1	1	1										3	
---	---	--	--	--	---	---	---	--	--	--	--	--	--	--	--	--	---	--

Index:

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18
---	---	---	---	---	---	---	---	---	---	----	----	----	----	----	----	----	----	----

Value v:

0	1	2	3
2	7	14	18

Location of **next** record  
with digit v.

Algorithm: Go through the records in order  
putting them where they go.

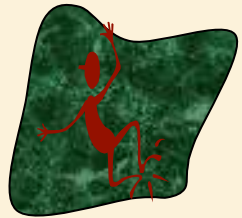
# CountingSort

Input:

<del>1</del>	<del>0</del>	<del>0</del>	<del>1</del>	<del>3</del>	<del>1</del>	<del>1</del>	3	1	0	2	1	0	1	1	2	2	1	0
0	0				1	1	1	1									3	
0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18

Output:

Index:



Value v:

0	1	2	3
2	8	14	18

Location of **next** record  
with digit v.

Algorithm: Go through the records in order  
putting them where they go.

# CountingSort

Input:

<del>1</del>	<del>0</del>	<del>0</del>	<del>1</del>	<del>3</del>	<del>1</del>	<del>1</del>	<del>3</del>	1	0	2	1	0	1	1	2	2	1	0
0	0				1	1	1	1									3	3
0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18

Output:

Index:

Value v:

0	1	2	3
2	9	14	18

Location of **next** record  
with digit v.

Algorithm: Go through the records in order  
putting them where they go.

# CountingSort

Input:

<del>1</del>	<del>0</del>	<del>0</del>	<del>1</del>	<del>3</del>	<del>1</del>	<del>1</del>	<del>3</del>	<del>1</del>	<del>0</del>	2	1	0	1	1	2	2	1	0
0	0				1	1	1	1	1								3	3
0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18

Output:

Index:

Value v:

0	1	2	3
2	9	14	19

Location of **next** record  
with digit v.

Algorithm: Go through the records in order  
putting them where they go.

# CountingSort

Input:

<del>1</del>	<del>0</del>	<del>0</del>	<del>1</del>	<del>3</del>	<del>1</del>	<del>1</del>	<del>3</del>	<del>1</del>	<del>0</del>	2	1	0	1	1	2	2	1	0
0	0	0			1	1	1	1	1								3	3
0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18

Output:

0	0	0			1	1	1	1	1								3	3
---	---	---	--	--	---	---	---	---	---	--	--	--	--	--	--	--	---	---

Index:

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18
---	---	---	---	---	---	---	---	---	---	----	----	----	----	----	----	----	----	----

Value v:

0	1	2	3
2	10	14	19

Location of **next** record  
with digit v.

Algorithm: Go through the records in order  
putting them where they go.



# CountingSort

Input:

<del>1</del>	<del>0</del>	<del>0</del>	<del>1</del>	<del>3</del>	<del>1</del>	<del>1</del>	<del>3</del>	<del>1</del>	<del>0</del>	<del>2</del>	1	0	1	1	2	2	1	0
0	0	0			1	1	1	1	1					2			3	3
0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18

Output:

Index:

Value v:

0	1	2	3
3	10	14	19

Location of **next** record  
with digit v.

Algorithm: Go through the records in order  
putting them where they go.

# CountingSort

Input:

<del>1</del>	<del>0</del>	<del>0</del>	<del>1</del>	<del>3</del>	<del>1</del>	<del>1</del>	<del>3</del>	<del>1</del>	<del>0</del>	<del>2</del>	<del>1</del>	0	1	1	2	2	1	0
0	0	0			1	1	1	1	1	1				2			3	3
0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18

Output:

Index:

Value v:

0	1	2	3
3	10	15	19

Location of **next** record  
with digit v.

Algorithm: Go through the records in order  
putting them where they go.

# CountingSort

Input:

<del>1</del>	<del>0</del>	<del>0</del>	<del>1</del>	<del>3</del>	<del>1</del>	<del>1</del>	<del>3</del>	<del>1</del>	<del>0</del>	<del>2</del>	<del>1</del>	0	1	1	2	2	1	0
0	0	0			1	1	1	1	1	1				2			3	3
0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18

Output:

0	0	0			1	1	1	1	1	1				2			3	3
---	---	---	--	--	---	---	---	---	---	---	--	--	--	---	--	--	---	---

Index:

Value v:

0	1	2	3
3	10	15	19

Location of **next** record  
with digit v.

Algorithm: Go through the records in order  
putting them where they go.

# CountingSort

Input:

<del>1</del>	<del>0</del>	<del>0</del>	<del>1</del>	<del>3</del>	<del>1</del>	<del>1</del>	<del>3</del>	<del>1</del>	<del>0</del>	<del>2</del>	<del>1</del>	<del>0</del>	<del>1</del>	<del>1</del>	<del>2</del>	<del>2</del>	<del>1</del>	<del>0</del>
0	0	0	0	0	1	1	1	1	1	1	1	1	1	2	2	2	3	3
0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18

Output:

0	0	0	0	0	1	1	1	1	1	1	1	1	1	2	2	2	3	3
---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---

Index:

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18
---	---	---	---	---	---	---	---	---	---	----	----	----	----	----	----	----	----	----

Value v:

0	1	2	3
5	14	17	19

Location of **next** record  
with digit v.

Time =  $\theta(N)$

Total =  $\theta(N+k)$

# Linear Sorting Algorithms

- Counting Sort
- **Radix Sort**
- Bucket Sort

## Example 2. RadixSort

### Input:

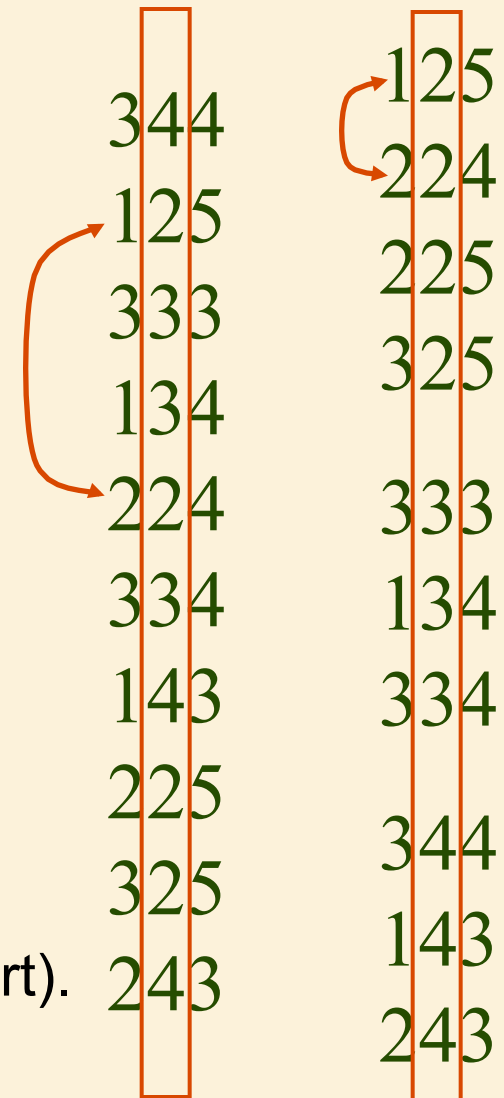
- An array of  $N$  numbers.
- Each number contains  $d$  digits.
- Each digit between  $[0 \dots k-1]$

### Output:

- Sorted numbers.

### Digit Sort:

- Select one digit
- Separate numbers into  $k$  piles based on selected digit (e.g., Counting Sort).



**Stable sort:** If two cards are the same for that digit, their order does not change.

# RadixSort

344  
125  
333  
134  
224  
334  
143  
225  
325  
243

Sort by which  
digit first?

The most  
significant.

125  
134  
143  
224  
225  
243  
344  
333  
334  
325

Sort by which  
digit Second?

The next most  
significant.

125  
224  
225  
325  
134  
333  
334  
143  
243  
344

All meaning in first sort lost.

# RadixSort

344  
125  
333  
134  
224  
334  
143  
225  
325  
243

Sort by which  
digit first?

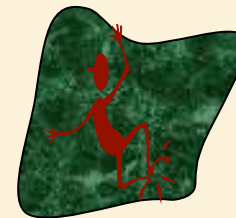
The least  
significant.

333  
143  
243  
344  
134  
224  
334  
125  
225  
325

Sort by which  
digit Second?

The next least  
significant.

224  
125  
225  
325  
333  
134  
334  
143  
243  
344





# RadixSort

344

125

333

134

224

334

143

225

325

243

Sort by which  
digit first?

The least  
significant.

333

143

243

344

134

224

334

125

225

325

Sort by which  
digit Second?

The next least  
significant.

2 24

1 25

2 25

3 25

3 33

1 34

3 34

1 43

2 43

3 44



Is sorted by least sig. 2 digits.

# RadixSort

2	24
1	25
2	25
3	25
3	33
1	34
3	34
1	43
2	43
3	44

$i+1$



Is sorted by  
first  $i$  digits.



Sort by  $i+1$ st  
digit.

1	25
1	34
1	43
<hr/>	
2	24
2	25
2	43
<hr/>	
3	25
3	33
3	34
3	44



Is sorted by  
first  $i+1$  digits.

These are in the  
correct order  
because sorted  
by high order digit

# RadixSort

2 24

1 25

2 25

3 25

3 33

1 34

3 34

1 43

2 43

3 44  
i+1



Is sorted by  
first  $i$  digits.



Sort by  $i+1$ st  
digit.

1 25

1 34

1 43

2 24

2 25

2 43

3 25

3 33

3 34

3 44



Is sorted by  
first  $i+1$  digits.

These are in the  
correct order  
because was sorted &  
stable sort left sorted

# Loop Invariant



- The keys have been correctly stable-sorted with respect to the  $i-1$  least-significant digits.

# Running Time

**RADIX-SORT( $A, d$ )**

**for  $i \leftarrow 1$  to  $d$**

**do use a stable sort to sort array  $A$  on digit  $i$**

Running time is  $\Theta(d(n + k))$

Where

$d = \#$  of digits in each number

$n = \#$  of elements to be sorted

$k = \#$  of possible values for each digit

# Linear Sorting Algorithms

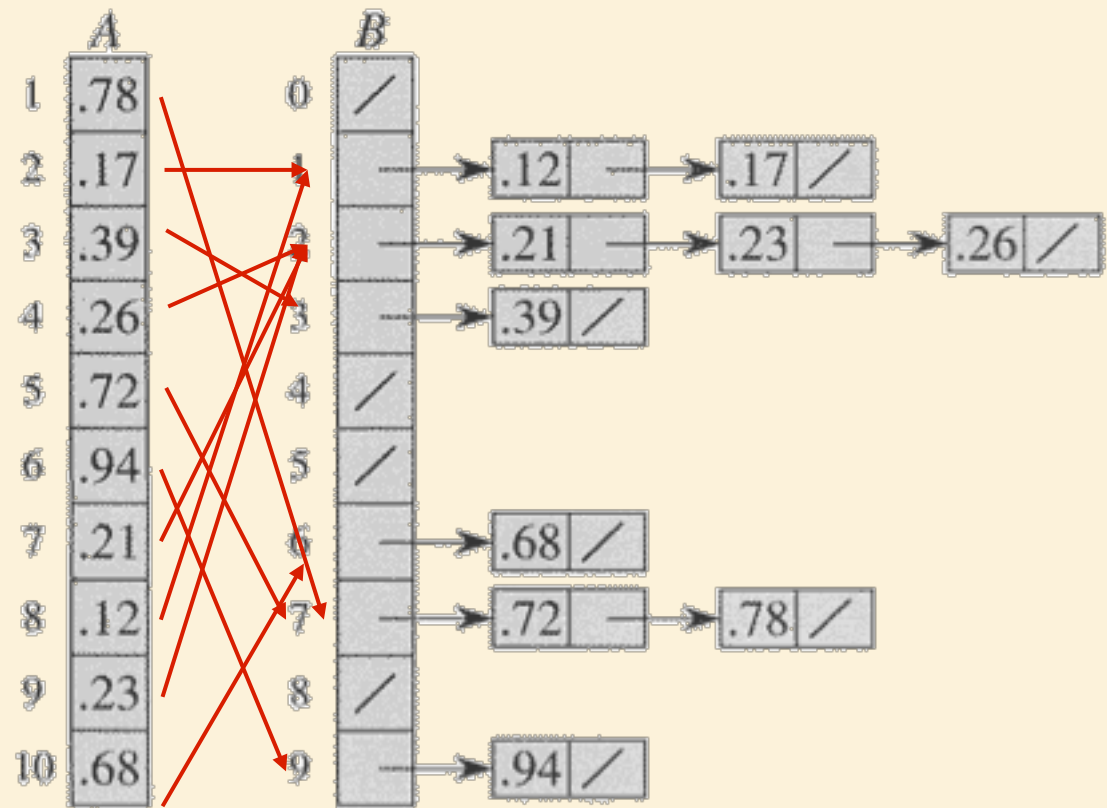
- Counting Sort
- Radix Sort
- **Bucket Sort**

## Example 3. Bucket Sort

- Applicable if input is constrained to finite interval, e.g., real numbers in the range  $[0 \dots 1)$ .
- If input is random and uniformly distributed, **expected** run time is  $\Theta(n)$ .

# Bucket Sort

- Given  $A[1..n]$ :
  - ❑ Create new table  $B$  of length  $n$
  - ❑ Insert  $A[i]$  into  $B[\lfloor nA[i] \rfloor]$





# PseudoCode

BUCKET-SORT( $A, n$ )

Expected Running Time

**for**  $i \leftarrow 1$  **to**  $n$

**do** insert  $A[i]$  into list  $B[\lfloor n \cdot A[i] \rfloor]$   $\leftarrow \Theta(1) \times n$

**for**  $i \leftarrow 0$  **to**  $n - 1$

**do** sort list  $B[i]$  with insertion sort  $\leftarrow \Theta(1) \times n$

concatenate lists  $B[0], B[1], \dots, B[n - 1]$   $\leftarrow \Theta(n)$

**return** the concatenated lists

---

$\Theta(n)$

# Loop Invariants



## ➤ Loop 1

- ❑ The first  $i-1$  keys have been correctly placed into buckets of width  $1/n$ .

## ➤ Loop 2

- ❑ The keys **within** each of the first  $i-1$  buckets have been correctly stable-sorted.

# Linear Sorting Algorithms

- Counting Sort
- Radix Sort
- Bucket Sort

# Linear Sorts: Learning Outcomes

- From understanding this lecture you should be able to:
  - ☐ Explain the difference between comparison sorts and linear sorting methods.
  - ☐ Identify situations when linear sorting methods can be applied and know why.
  - ☐ Explain and code any of the linear sorting algorithms we have covered.